

REDUCING RECIDIVISM THROUGH CASE PLANNING

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Principles of Effective Intervention

Crime and Justice Institute at Community Resources for Justice (2009)

- 1. Assess Actuarial Risk/Needs
- 2. Enhance Intrinsic Motivation
- 3. Target Interventions
 - ▣ *Risk Principle: Prioritize supervision and treatment resources for higher risk offenders.*
 - ▣ *Need Principle: Target interventions to criminogenic (correlated to crime) needs.*
 - ▣ *Responsivity Principle: Be responsive to temperament, learning style, motivation, culture, and gender when assigning programs.*
 - ▣ *Dosage: Structure 40-70% of high-risk offenders' time for three to nine months.*
 - ▣ *Treatment Principle: Integrate treatment into the full sentence/sanction requirements.*
- 4. Skill Train with Directed Practice (e.g., use cognitive behavioral treatment methods)
- 5. Increase Positive Reinforcement
- 6. Engage Ongoing Support in Natural Communities
- 7. Measure Relevant Processes/Practices
- 8. Provide Measurement Feedback

Northern California Probation Consortium (NCPC)

Colusa, Del Norte, Glenn, Humboldt, Lake, Lassen, Mendocino, Nevada, Plumas, Shasta, Sierra, Siskiyou, Sutter, Tehama, Trinity, Yuba

Juvenile (2008)

- Juvenile Risk/Needs Assessment
- Motivational Interviewing
- Automated Juvenile Case Plan (Division 31 & Title IVE)

Adult (2010)

- Adult Risk/Needs Assessment
- Motivational Interviewing
- Automated Adult Case Plan (Jan 2011)

Sustainability (Present)

- Liaison Network
- Project Manager
- Data Committee
- Training

Multnomah County, Oregon

1996-2000

- LSI/R assessment introduced in several counties.
- Paper version.
- Staff resistant, no data tracking, DIED A SLOW DEATH.

2003

- SB267 Requires correctional treatment programs to follow EBP.
- Dept of Corrections established compliance & monitoring systems.
- Correction Program Checklist.

2004-2009

- LSCMI assessment embraced by many counties.
- Assessment automated.
- Sex Offender Supervision Network adopts assessment.
- Probation Officers buy into risk assessment but resist case plan.

2010-2011

- Community Corrections Directors commission statewide risk assessment workgroup.
- OREGON State adopts LSCMI as REQUIRED risk/needs for all counties.
- Case plan still an issue, too complex, multiple pages, not user friendly.

Multnomah County, Oregon

EBP TOOLBOX

- Assessment—LSCMI
- Case Plan—supervision strategy
- EPICS/Carey Guides-cognitive intervention/homework (skill build)
- Action Plan

NCPC Adult Case Plan

IMPLEMENTATION CONSIDERATIONS

- Philosophy moving away from one-size fits all supervision and case management.
 - ▣ Addressing criminogenic needs
 - ▣ Risk-based supervision
 - ▣ Target Interventions
- Case Load Size
 - ▣ Case load management by risk level
- Staff Training
- Stakeholders

Multnomah County, Oregon

IMPLEMENTATION CONSIDERATIONS

- Parole & Probation Officers found value in validated risk assessments but not in our case plan.
 - ▣ What did we do?
 - First we blamed PO's.
 - Then we realized they were our customers.
 - We started to listen.
 - We tried to use our automated case plan!!!!

Multnomah County, Oregon

Crime Recipe for Staff with NO Impact



Dish: _____

Recipe

Serves: _____

- *Lack of Professional Alliance with offender*
- *Only meet with the Offender for ten minutes at a time*
- *Spend the session monitoring conditions instead of the influences on crime*
- *Use of confrontation and external control to get compliance*

Multnomah County, Oregon

R/N/R Principles & Differential Supervision

- Risk Principle - Assess and identify higher risk offenders and target for more intensive services and supervision.
- Need Principle - Identify and target criminogenic needs including Top 4: Anti-social thinking, anti-social peers, anti-social temperament (attitudes) and family/marital.
- Responsivity - Match intervention modes and strategies to learning styles, motivation and demographics.

Multnomah County, Oregon

Crime Recipe for Staff Having an Impact



Recipe Dish: _____ Serves: _____

1. Spend 15-20 minutes or more in a session
2. Focus on catching them doing things right and affirm those
3. Build meaningful alliance with offender; help them see you as wanting them to succeed
4. Focus on skill building in the criminogenic areas and practice them
5. Require that the offender take action (i.e. do homework)

Multnomah County, Oregon

Case Plan Design

- Precise
- Short
- Easy to use
- Do not need to spell out everything
- Provide reminders of critical and important issues to address
- Practical

Multnomah County, Oregon

CASE PLAN & ACTION PLAN

- LS/CMI Domain Scores
 - VL, L, M, H, VH
- Treatment Module
- Behavior Change Plans
- Case Plan Notes
- Action Plan

CASE Plan Screen Shot

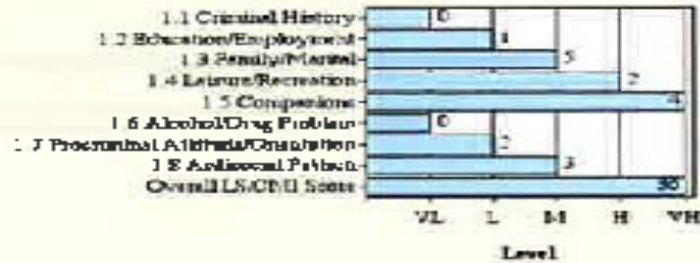
LS/CM Protective Factors: 26



* SID:
 Offender: Offender Jim
 Location: CCCF
 DOB: 12/30/1966
 Age: 40
 Primary Counselor: 01011
 Last Assessment By: HARDINP
 Last Location: CCCF

Details

LS/CM Domain Scores



[New Plan](#) | [Chronos](#) | [Reopen Last](#) | 05/12/10

Treatment Module

[Print for Offender](#)

[Print for Counselor/PC](#)

Results 1-4 of 4 (Page 1 of 1)

Domain	Program	Enter Date	Start Date	End Date	Notes
0 Other	program A	Sep 8, 2010	Sep 8, 2010	Sep 8, 2010	
1 AntiSocial	program B	Sep 8, 2010	Sep 8, 2010	Sep 8, 2010	Completed
2 Alcohol/Drug	program C	Sep 8, 2010	Sep 8, 2010	Sep 8, 2010	Failed

[New Behavior Change Plan](#)

Behavior Change Plan 1

Status: **Completed**

Need: **Alcohol/Drug**

Stages of Change: **Pre-Contemplative**

Goal: **Finish ASAP**

Strategies

Results 1-1 of 1 (Page 1 of 1)

Intervention	Due by
0 Perform a new test on - 1...	Sep 8, 2010

CASE Plan Screen Shot #2

Responsivity Concerns	Responsivity concerns comments go here.
Strengths/Assets	Strengths comments go here.
How will you know you have accomplished your goal?	Goal accomplishment comments go here.
Other Comments	Other comments go here.

[Save Progress](#)

Behavior Change Plan 2




Status: In Progress

Need: Leisure/Recreation Stages of Change: Pre-Contemplative

Goal: Finish ASAP

Strategies

Results 1-2 of 2 (Page 1 of 1)

Intervention	Due by	
0 Perform a new test on - 2...	Sep 8, 2010	  

Responsivity Concerns	Responsivity concerns comments go here.
Strengths/Assets	Strengths comments go here.
How will you know you have accomplished your goal?	Goal accomplishment comments go here.
Other Comments	Other comments go here.

[Save Progress](#)

Notes

- Scope of Crime
Scope notes goes here. [Save](#) [View history](#)
- 1.1 Criminal History
Criminal history notes goes here. [Save](#) [View history](#)
- 1.2 Education Employment
Education notes goes here. [Save](#) [View history](#)
- 1.3 Family/Marital

CASE Plan Screen Shot #3

Family notes goes here.	<input type="button" value="Save"/>	View history
	<input type="button" value="Save"/>	
1.4 Leisure/Recreation		
Leisure notes goes here.	<input type="button" value="Save"/>	View history
	<input type="button" value="Save"/>	
1.5 Companions		
Companions notes goes here.	<input type="button" value="Save"/>	View history
	<input type="button" value="Save"/>	
1.6 Alcohol/Drug Problem		
Companions notes goes here.	<input type="button" value="Save"/>	View history
	<input type="button" value="Save"/>	
1.7 Procriminal Attitude/Orientation		
Companions notes goes here.	<input type="button" value="Save"/>	View history
	<input type="button" value="Save"/>	
1.8 Antisocial Pattern		
Companions notes goes here.	<input type="button" value="Save"/>	View history
	<input type="button" value="Save"/>	

* = entry required for this field

All dates must be entered in this format: m/d/yyyy

Release: v1.6.3 Not determined

Action Plan 2



* SID: [redacted] M
Offender: Offender Jim
CCC
DOB: 02/22/1997
Age: 40
Primary Caseload: 01011
Last Assessment By: UserTest
Last Location: CCCF
Projected Release Date: 2/9/2011

ACTION Plan Screen Shot

TM 4

Behavior Change Plan 1 Status: In Progress

Need: Companions: **Stages of Change:** Pre-Contemplative
Goal Finish ASAP

Strategies

Action Steps	Date Due By
Perform a new test on - 1...	Nov 1, 2010

Behavior Change Plan 2 Status: In Progress

Need: Leisure/Recreation: **Stages of Change:** Pre-Contemplative
Goal Finish ASAP

Strategies

Action Steps	Date Due By
Perform a new test on - 2...	Nov 1, 2010

Caseload Manager: Smilh, John

Next Report Date: 11/15/2010

Time: 11:29:41 P

Action Plan

Results 1-5 of 5 (Page 1 of 1)				
Txt	Directive	Due Date	Completion Date	
0	Directive number 1	Ongoing		
1	Directive number 2	Ongoing		
2	Directive number 3	1/1/2000		
3	Directive number 4	7/1/1998	8/2/1999	
4	Directive number 5	3/2/1997	6/1/1999	

Chrono Notes

Comments for action plan

Multnomah County, Oregon

We have now in development a Chevrolet

- It is one page.
- Focus is on behavioral change in highest risk areas identified in assessment.
- It will engage the offender.
- Case plan will automatically populate Action Plan—helps remind PO monthly of case plan targets.

NCPC Adult Case Plan

WHAT'S WORKING

- Adult supervision case load numbers 1:50
- A “New” Way of Doing Business
 - ▣ Interview
 - ▣ Assess
 - ▣ Caseplan
- Training
- Data

NCPC Adult Case Plan

WHAT'S WORKING - Continued

- SMART Goals
 - Specific
 - Measurable
 - Attainable
 - Realistic
 - Timely

NCPC Adult Case Plan

MOVING FORWARD

- Staff Training
- Recidivism Evaluation
- Evaluate Treatment Effect
- Make Policy Adjustments
- Evaluate Case Load Sizes

NCPC Adult Case Plan

MOVING FORWARD-Continued

- Fully automated case plan by January 2012
- Staff training
 - ▣ Assessment Tools
 - ▣ Case Planning
 - ▣ S.M.A.R.T. Goals
- Data Management/Reporting by County, NCPC Regions, and Consortium-Wide.
- Validate the adult assessment tool (STRONG) for California.

Reducing Recidivism through Case Planning

Believe you can and you're
halfway there.

~ Theodore Roosevelt

- Questions/Answers
- Contact Information
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